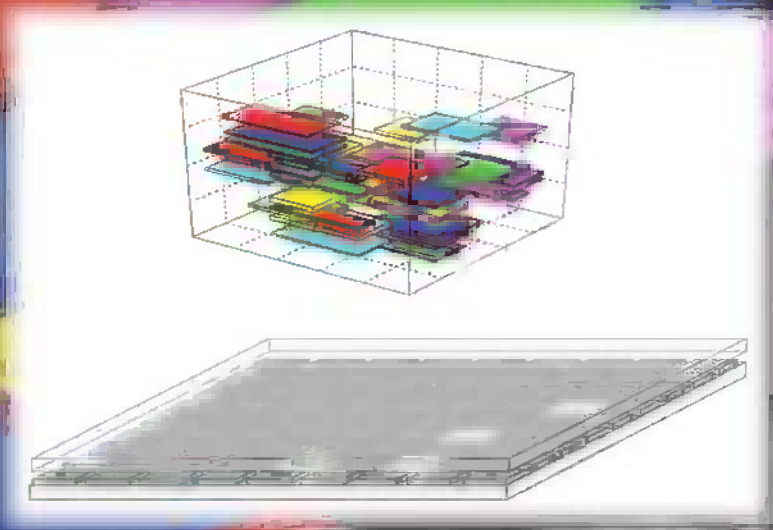


DIGITAL MICROFLUIDIC BIOCHIPS

**SYNTHESIS, TESTING,
AND RECONFIGURATION
TECHNIQUES**



Krishnendu Chakrabarty
Fei Su

DIGITAL MICROFLUIDIC BIOCHIPS

**SYNTHESIS, TESTING,
AND RECONFIGURATION
TECHNIQUES**

DIGITAL MICROFLUIDIC BIOCHIPS

SYNTHESIS, TESTING, AND RECONFIGURATION TECHNIQUES

Krishnendu Chakrabarty

Duke University
Durham, North Carolina, U.S.A.

Fei Su

Intel Corporation
Folsom, California, U.S.A.



Taylor & Francis

Taylor & Francis Group
Boca Raton London New York

CRC is an imprint of the Taylor & Francis Group,
an informa business

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2007 by Taylor & Francis Group, LLC
CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works
Printed in the United States of America on acid-free paper
10 9 8 7 6 5 4 3 2 1

International Standard Book Number-10: 0-8493-9009-5 (Hardcover)
International Standard Book Number-13: 978-0-8493-9009-8 (Hardcover)

This book contains information obtained from authentic and highly regarded sources. Reprinted material is quoted with permission, and sources are indicated. A wide variety of references are listed. Reasonable efforts have been made to publish reliable data and information, but the author and the publisher cannot assume responsibility for the validity of all materials or for the consequences of their use.

No part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com/>) or contact the Copyright Clearance Center, Inc. (CCC) 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Chakrabarty, Krishnendu.

Digital microfluidic biochips : synthesis, testing, and reconfiguration techniques / Krishnendu Chakrabarty and Fei Su.

p. cm.

Includes bibliographical references and index.

ISBN 0-8493-9009-5 (alk. paper)

I. Biochips. 2. Microfluidics. I. Su, Fei. II. Title.

R857.B5C4553 2006

610.28--dc22

2006045567

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

Preface

Microfluidic biochips are soon expected to revolutionize clinical diagnostics, massively parallel DNA analysis, and other laboratory procedures involving molecular biology. In contrast to continuous-flow systems, a new generation of microfluidic biochips, referred to as *digital microfluidic biochips*, offers dynamic reconfigurability and system scalability that facilitate large-scale bioassay applications. As more bioassays are executed concurrently on a biochip, system integration and design complexity are expected to increase dramatically. Moreover, as many digital microfluidic biochips will be used in safety-critical applications, defect/fault tolerance is also expected to emerge as important design consideration.

Current full-custom design techniques for digital microfluidic biochips may not scale well for large design, and they do not easily handle yield/reliability issues. There is a need to deliver the same level of computer-aided design (CAD) support to the biochip designer that the semiconductor industry now takes for granted. This book describes a design automation framework that addresses key issues in the synthesis, testing, and reconfiguration of digital microfluidic biochips.

Part I of this book presents synthesis techniques for digital microfluidic biochips. It first presents an architectural-level synthesis methodology that addresses the optimization problem of bioassay scheduling under resource constraints. Following architectural-level synthesis, two key problems in geometry-level synthesis, namely microfluidic module placement and droplet routing, are investigated. A unified synthesis methodology that integrates operation scheduling, resource binding, and module placement in one synthesis procedure is also presented.

Part II of this book investigates testing techniques for digital microfluidic biochips. A cost-effective test methodology, whereby faults can be detected by electrically controlling and tracking the motion of test droplets, is first proposed. Based on the proposed detection mechanism, the problems of test planning and test resource optimization are further investigated. A concurrent testing methodology is also developed to allow the detection of catastrophic faults and normal bioassays to run simultaneously on a biochip. A defect-oriented testing and diagnosis method is presented to handle different types of defects in microfluidic arrays.

Reconfiguration techniques are the focus of Part III of the book. Different reconfiguration techniques and the corresponding defect/fault tolerance approaches are presented. Two defect/fault tolerance schemes based on space redundancy and graceful degradation, respectively, are analyzed. The proposed reconfiguration techniques are expected to increase the yield and reliability of digital microfluidic biochips. The proposed design automation tools are evaluated using a set of real-life bioassays.

This book grew out of an ongoing research project on CAD for biochips at Duke University. The results of this research have been published as papers in a number

of journals and conference proceedings. The chapters in this book present all these results as a research monograph in a single volume. It can be used as a reference book for academic and industrial researchers in the areas of digital microfluidic biochips and electronic design automation.

In summary, this book provides an important bridge between the electronic design automation and microfluidic biochip research communities. This work is expected to reduce human effort and enable high-volume productions and applications of microfluidics-based biochips. The insights gained from this work are expected to pave the way for the integration of microfluidic components in the next generation of system-on-chip/system-in-package designs.

Acknowledgments

We are grateful to Nora Konopka of CRC Press for encouraging us to pursue this book project. We are also grateful to IEEE and ACM for granting us copyright permission to use materials from our published work. This book grew out of a research project funded by the National Science Foundation (NSF), in particular the Division of Information and Intelligent Systems and the Design Automation for Micro and Nano Systems program in the CISE Directorate. We thank NSF Program Directors Dr. Mitra Basu, Dr. Sylvia Spengler, and Dr. Sankar Basu for supporting this work. We also acknowledge the inputs received from Prof. Richard B. Fair, who leads the digital microfluidic group at Duke University. Finally, we acknowledge the contributions of Dr. Vamsee Pamula, Dr. Michael Pollack, Dr. Vijay Srinivasan, Prof. Sule Ozev, Phil Paik, William Hwang, and numerous other colleagues who participated in this research project.

Table of Contents

PART I

Synthesis Techniques.....	1
Chapter 1 Introduction	3
1.1 Technology Issues	5
1.1.1 Biochip Technology	5
1.1.2 Continuous-Flow Microfluidics	6
1.1.3 Droplet-Based Microfluidics	7
1.2 Digital Microfluidic Biochips	11
1.3 Microfluidic Biochip Design Challenges	13
1.3.1 Typical Design Methodology: Bottom-Up	13
1.3.2 Top-Down Design Methodology	14
1.4 Book Outline	16
Chapter 2 Architectural-Level Synthesis	19
2.1 Background	19
2.2 High-Level Synthesis Methodology	20
2.2.1 Illustrative Example: Multiplexed <i>in vitro</i> Diagnostics	20
2.2.2 Sequencing Graph Model	22
2.2.2.1 Input Operations	22
2.2.2.2 Mixing Operation.....	23
2.2.2.3 Detection Operation.....	24
2.2.3 Integer Linear Programming Model	25
2.2.3.1 Dependency Constraints	26
2.2.3.2 Resource Constraints	26
2.2.4 Heuristics for the Scheduling Problem.....	28
2.3 Simulation Experiments	33
2.3.1 Evaluation Experiments	34
2.3.2 Resource Selection.....	35
2.3.3 Application to Protein Assay	37
2.4 Summary	39
Chapter 3 Module Placement.....	41
3.1 Background	42
3.2 Module Placement Problem.....	43

- 3.3 Fault Tolerance for Digital Microfluidic Biochips 46
 - 3.3.1 Partial Reconfiguration 46
 - 3.3.2 Fault Tolerance Index..... 47
 - 3.3.3 Fast Algorithm to Determine FTI..... 47
 - 3.3.4 Extending FTI to Multiple Faults 50
- 3.4 Experimental Evaluation 53
 - 3.4.1 Example 1: PCR..... 53
 - 3.4.2 Example 2: Multiplexed *in vitro* Diagnostics..... 58
 - 3.4.3 Multiobjective Optimization Analysis 63
 - 3.4.3.1 Effect of β 63
 - 3.4.3.2 Pareto Optimization 63
- 3.5 Summary 65

Chapter 4 Unified Synthesis Methodology..... 67

- 4.1 Problem Formulation 67
- 4.2 PRSA-Based Algorithm 69
 - 4.2.1 Representation of a Chromosome..... 69
 - 4.2.2 Construction Procedure..... 70
 - 4.2.2.1 Phase I: Resource Binding 70
 - 4.2.2.2 Phase II: Scheduling..... 71
 - 4.2.2.3 Phase III: Placement..... 72
- 4.3 Enhancement for Defect Tolerance 73
- 4.4 Experimental Evaluation 75
- 4.5 Summary 80

Chapter 5 Droplet Routing..... 81

- 5.1 Background 81
- 5.2 Problem Formulation 83
 - 5.2.1 Objective Function 83
 - 5.2.2 Fluidic Constraints 84
 - 5.2.3 Timing Constraints..... 86
 - 5.2.4 Problem Decomposition..... 87
- 5.3 Routing Method 88
 - 5.3.1 Phase I: *M*-Shortest Routes 90
 - 5.3.1.1 Two-Pin Nets 90
 - 5.3.1.2 Three-Pin Nets 91
 - 5.3.2 Phase II: Random Selection..... 92
 - 5.3.3 FCRC and Droplet Motion Modification 92
- 5.4 Experimental Evaluation 93
- 5.5 Summary 97

PART II

Testing Techniques 99

Chapter 6 Testing Methodology 101

6.1 Background 101
6.2 Classification of Faults..... 102
6.3 Unified Detection Mechanism 103
6.3.1 Online Testing of Catastrophic Faults 103
6.4 Parametric Fault Testing 105
6.4.1 Fault-Free Model..... 105
6.4.2 Lower-Bound Testing 108
6.4.3 Upper-Bound Testing 108
6.4.4 Evaluation of the Parametric Test Strategy 110
6.4.5 Evaluation of Detectability 111
6.4.5.1 Tolerance Analysis..... 111
6.4.5.2 Minimum Detectable Deviations..... 111
6.5 Simulation Experimental Setup 113
6.5.1 Real-Time PCR in Digital Microfluidic Biochips..... 113
6.5.2 Testing Parametric Faults in Biochips for PCR 113
6.5.2.1 Insulator Degradation 114
6.5.2.2 Particle Contamination 114
6.5.2.3 Defect in Temperature Controller..... 116
6.6 Summary 117

Chapter 7 Test Planning 119

7.1 Problem Definition..... 119
7.2 Analysis of Computational Complexity 121
7.3 Integer Linear Programming Model for OPP..... 123
7.4 Heuristic Algorithms 126
7.4.1 Simple Monte Carlo Search Algorithm (SMC)..... 127
7.4.2 Modified Real-Time Algorithm (MRT) 127
7.4.3 Proposed Improved Heuristic Algorithm
for Multiple Droplets (PIH-MD) 128
7.5 Simulation Results 129
7.6 Summary 132

Chapter 8 Concurrent Testing 133

8.1 Concurrent Testing Methodology..... 133
8.2 Optimal Scheduling for Concurrent Testing..... 134
8.2.1 Testing Requirement 135
8.2.2 Resource Constraint 136

- 8.2.3 Starting Point..... 136
- 8.2.4 Movement Rules 136
- 8.2.5 Optimal Test Schedule 137
- 8.3 Concurrent Testing Example 140
- 8.4 Summary 145

Chapter 9 Defect-Oriented Testing and Diagnosis..... 147

- 9.1 Fault Modeling..... 148
- 9.2 Defect-Oriented Experiment 149
 - 9.2.1 Experiment Design..... 149
 - 9.2.2 Chip Fabrication and Experimental Setup..... 149
 - 9.2.3 Results and Analysis 150
- 9.3 Testing and Diagnosis 152
 - 9.3.1 Offline Testing..... 152
 - 9.3.2 Online Testing 157
 - 9.3.3 Diagnosis 158
- 9.4 Real-Life Application..... 159
- 9.5 Summary 162

PART III

Reconfiguration Techniques 163

Chapter 10 Reconfiguration Schemes..... 165

- 10.1 Proposed Reconfiguration Schemes..... 165
 - 10.1.1 Local Reconfiguration Scheme 165
 - 10.1.2 Partial Reconfiguration Scheme 166
 - 10.1.3 Full Reconfiguration Scheme 167
- 10.2 Example Evaluation 168
- 10.3 Summary 171

Chapter 11 Defect Tolerance Based on Space Redundancy 173

- 11.1 Background 174
- 11.2 Microfluidic Array with Hexagonal Electrodes..... 174
- 11.3 Defect-Tolerant Designs..... 175
- 11.4 Estimation of Yield Enhancement 178
- 11.5 Evaluation Example 185
- 11.6 Summary 190

Chapter 12 Defect Tolerance Based on Graceful Degradation 191

- 12.1 Tile-Based Architecture 192
- 12.2 Clustered Defect Model 192

12.3 Graceful Degradation with Reconfiguration..... 193

12.4 Simulation Results 197

 12.4.1 Evaluation Example 1: PCR 197

 12.4.2 Evaluation Example 2: Multiplexed Diagnostics 198

12.5 Summary 201

Chapter 13 Conclusions and Future Work 203

13.1 Contributions of the Book 203

13.2 Future Work..... 205

Bibliography 207

Index..... 215

Dedication

*To Kamalika and Arunangshu
Krishnendu Chakrabarty*

*To my parents, sister, and my dear wife Min
Fei Sui*

Part I

Synthesis Techniques

As more bioassays are executed concurrently on a digital microfluidics-based biochip, system integration and application complexity are expected to increase steadily. Thus system-level design automation tools (e.g., synthesis tools) are needed to handle increasing biochip design complexity. Synthesis research for digital microfluidic biochips can benefit from classical CAD techniques, which is a well-studied problem, and advances in synthesis techniques for integrated circuits continue even today.

As stated in Section 1.3.2, we envisage that the synthesis of a digital microfluidic biochip can be divided into two major phases, referred to as architectural-level synthesis (i.e., high-level synthesis) and geometry-level synthesis (i.e., physical design), respectively. A behavioral model (e.g., sequencing graph model) for a biochemical assay is first obtained from the protocol for that assay. Note that by using discrete unit-volume droplets, a microfluidic function can be reduced to a set of repeated basic operations (i.e., moving one unit of fluid over one unit of instance). This “digitization” method facilitates the implementation of many well-defined protocols for nano- and microscale bioassays on a microchip. A generic class of microdroplet-based bioassay protocols that can be applied to digital microfluidic biochips usually consists of the following steps:

1. Dispensing sample/reagent droplets into the microfluidic array
2. Transporting the droplets to some locations on the array for assays operations (e.g., mixing, dilution or optical detection)
3. Finally, moving the droplets of assay products or wastes out of the microfluidic array.

Based on the generated sequencing graph model, architectural-level synthesis is used to generate a macroscopic structure of the biochip; this structure is analogous to a structural register-transfer level (RTL) model in electronic CAD. This macroscopic model provides an assignment of assay functions to biochip resources, as well as a mapping of assay functions to time steps, based in part on the dependencies between them. Finally, geometry-level synthesis creates a physical representation at the geometrical level (i.e., the final layout of the biochip) consisting of the configuration of the microfluidic array, locations of reservoirs and dispensing ports, droplet routes, and other geometric details.

The goal of a synthesis procedure is to select a design that minimizes a certain cost function under resource constraints. For example, architectural-level synthesis for microfluidic biochips can be viewed as the problem of scheduling assay functions and binding them to a given number of resources so as to maximize parallelism, thereby decreasing response time. On the other hand, geometry-level synthesis addresses the placement of resources and the routing of droplets to satisfy objectives such as area or throughput. Defect/fault tolerance can also be included as a critical objective in the proposed synthesis method.

In architectural-level synthesis, both scheduling and resource-binding problems are addressed to generate a structural view of biochip design. As in the case of high-level synthesis for integrated circuits, resource binding in the biochip synthesis flow refers to the mapping from bioassay operations to available functional resources. Scheduling determines the start times and stop times of all assay operations, subject to the precedence constraints imposed by the sequencing graph. In Chapter 2, we present the proposed architectural synthesis methodology based on integer linear programming and heuristic techniques for scheduling assay operations under resource constraints. Resource-binding problem is also investigated in this chapter.

A key problem in the geometry-level synthesis of biochips is the placement of microfluidic modules such as different types of mixers and storage units. Based on the results obtained from architectural-level synthesis (i.e., a schedule of bioassay operation, a set of microfluidic modules, and the binding of bioassay operations to modules), placement determines the locations of each module on the microfluidic array in order to optimize some design metrics. Chapter 3 presents a simulated annealing-based methodology to solve the microfluidic module problem in a computationally efficient manner.

In Chapter 4, we further propose a synthesis methodology that unifies operation scheduling, resource binding, and module placement. This method allows architectural design and physical design decisions to be made simultaneously. Moreover, the proposed technique, which is based on parallel recombinative simulated annealing, can also be used after fabrication to bypass defective cells in the microfluidic array.

Chapter 5 investigates another key problem in biochip physical design (i.e., droplet routing between modules and between modules and on-chip reservoirs). It follows architectural-level synthesis and module placement in the proposed synthesis flow. We present the first systematic routing method for digital microfluidic biochips; the proposed approach attempts to minimize the number of cells used for droplet routing, while satisfying constraints imposed by throughput considerations and fluidic properties.

1 Introduction

Recent advances in microfluidics technology have generated tremendous interest in the design and implementation of miniaturized devices for biochemical analysis [1,2,3,4]. These composite microsystems, referred to interchangeably in the literature as microfluidic biochips, lab-on-a-chip, and bioMEMS, offer a number of advantages over conventional laboratory procedures. They automate highly repetitive laboratory tasks by replacing cumbersome equipment with miniaturized and integrated systems, and they enable the handling of small amounts (e.g., nanoliters) of fluids. Thus they are able to provide ultrasensitive detection at significantly lower costs per assay than traditional methods, and in a significantly smaller amount of laboratory space.

Microfluidic biochips promise to revolutionize enzymatic analysis (e.g., glucose and lactate assays), DNA analysis (e.g., PCR and nucleic acid sequence analysis), proteomic analysis involving proteins and peptides, immunoassays, and toxicity monitoring [5,6]. An emerging application area for microfluidic biochips is clinical diagnostics, especially immediate point-of-care diagnosis of diseases [5,6]. Microfluidics can also be used for countering bioterrorism threats [7,8]. Microfluidics-based devices, capable of continuous sampling and real-time testing of air/water samples for biochemical toxins and other dangerous pathogens, can serve as an always-on “bio-smoke alarm” for early warning.

The so-called *first generation* microfluidic biochips were based on continuous liquid flow through fabricated microchannels, and they were designed for simple biochemical analyses or assays [3,4]. Recently, a *second-generation* paradigm has emerged that manipulates liquids as discrete nanoliter droplets [9,10]. Following the analogy of digital electronics, this technology is referred to as *digital microfluidics*. In contrast to continuous-flow biochips, digital microfluidic biochips offer a scalable system architecture based on a two-dimensional microfluidic array of identical basic cells. Since each droplet (or groups of droplets) can be controlled independently, these “digital” systems also have dynamic reconfigurability, whereby groups of cells in a microfluidic array can be reconfigured to change their functionality during the concurrent execution of a set of bioassays. Due to their inherent properties of dynamic reconfigurability and architectural scalability, digital biochips can be used as programmable “microfluidic processors,” especially for massively parallel DNA analysis, automated drug discovery, and real-time biomolecular detection.

As the use of digital microfluidic biochips increases, their complexity and integration scale are expected to become significant due to the need for multiple and concurrent assays on the chip, as well as more sophisticated control for resource management. Time-to-market and fault tolerance are also expected to emerge as design considerations. As a result, current full-custom design techniques will not